



XML in the Development of Component Systems

XPath

XPath Overview

- ✧ Non-XML language for identifying particular parts of XML documents
 - First “person” element of a document
 - Seventh child element of third person element
 - ID attribute of the first person element whose contents are “Fred Jones”
 - All “xml-stylesheet” processing instructions
 - ...
- ✧ Originally developed for XSLT
 - Split off XSLT to support also Xpointer
- ✧ Also integrated into XML Schema, DOM, ...
- ✧ <http://www.w3.org/TR/xpath>

XML Tree Structure according to XPath

- ✖ Document made up of nodes containing other nodes
- ✖ Seven kinds of nodes:
 - Root node
 - Like DOM, different from document element
 - Element nodes
 - Text nodes
 - Attribute nodes
 - Excludes namespace attributes
 - Comment nodes
 - Processing instruction nodes
 - Namespace nodes
- ✖ Not included: CDATA section, entity references, DTD “things”

Location Path

- ✚ Typical top-level expression
- ✚ Identifies a set of nodes in the document
- ✚ Consists of “location steps”
 - Each location step is evaluated in a “context”
- ✚ Root location path: /
 - Identifies root node of the document independent of context

Child Location Steps

- ✚ A child location step selects all immediate child elements of the context
- ✚ Consists just of the element name:
 - Relative location path, e.g. "body"
 - Must have context to resolve the step
- ✚ Can be combined to form compound location paths
 - With root location path: /html
 - With compound location path, using "/" as the separator (immediate children): /html/body
 - Using "/" as the separator (all descendants): /html//p
 - Starting with // denotes all descendants of the context: //a

Attribute Location Steps

- ✚ Selects named attributes from a context
- ✚ Consists of "@" followed by the attribute name
 - //a/@href selects the href attributes of all "a" elements
 - //@id selects all "id" attributes in the document
- ✚ Location step selects the attribute nodes of the tree, not the attribute values
 - Conversion to strings will cause attribute values to be retrieved

Other Location Steps

- ✚ `comment()` selects all comment nodes of the context
- ✚ `text()` selects all text nodes in the context
 - CDATA sections and entity references are resolved
 - Each text node is the maximum contiguous text block without intervening markup (like `DOM normalize()`)
- ✚ `processing-instruction()` selects all PIs in the context
 - `processing-instruction('name')` selects PIs with target 'name'

Wildcards

- ✎ `//*` selects all elements in the context regardless of element name
 - `//*` selects all elements in the document
 - Can be prefixed with a namespace:
 - `svg:*` selects all elements with the same namespace that the `svg` prefix maps to
- ✎ `node()` selects all nodes in the context
- ✎ `@*` selects all attributes in the context
 - Can be prefixed again, e.g. `@xlink:*`

Alternatives

- ✶ "|" forms the union of selections
 - "a | link" selects all elements named "a" or "link"
 - @id|@xlink:type selects all attributes of name "id" or "xlink:type"
 - *|@* matches all element and attribute nodes

Traversing the Axis

- ✎ `".."` selects the parent node
 - `//@id/..` selects all element nodes which have an ID attribute
- ✎ `"."` selects the context node
 - Can be used to make `"//"` not start at the root:
 - `./p` selects all `p` nodes nested in the context node
 - In XSLT, used to access the string value of the current node

Predicates

- ✚ Select subset of the selected node
- ✚ Evaluated in the context of each node
- ✚ Written in square brackets:
 - `//profession[. = 'physicist']` selects all profession nodes whose string value is 'physicist'
 - String value of an element is the text content of the element
 - `//p[@id = 'foo']` selects all "p" nodes for which the string value of the 'id' attribute equals 'foo'
 - The string value of an attribute is the attribute value

Predicates (2)

- ✚ Predicate subexpressions can have multiple data types:
 - Strings, numbers, booleans, node sets
- ✚ Various operators are available:
 - Arithmetic and relational operations on numbers
 - `//person[@born < 1970]`
 - Relational operations on strings
 - Logical operations on booleans
- ✚ Implicit conversions between data types
- ✚ If the result is a number, the predicate holds if the position of the context node equals the number
 - `person[3]` selects the third “person” in the context

Unabbreviated Location Paths

- ✦ Location step consists of three parts: axis, test, and predicates
- ✦ XPath defines 13 axes:
 - ancestor: selects all ancestor nodes of the context
 - ancestor-or-self: like ancestor, but includes the context
 - attribute: selects all attributes
 - child: selects immediate child nodes
 - descendant: selects all descendents
 - descendant-or-self: like descendant, but includes the context
 - following, preceding: all nodes before or after the context (in document order)
 - following-sibling, preceding-sibling: all sibling nodes
 - parent: select the parent node
 - namespace: selects all namespaces of the context
 - self: selects the context

Unabbreviated Location Paths (2)

- ✦ `child::para` selects all immediate child elements of type "para"
 - Abbreviated as "para"
- ✦ `child::text()` selects all text node children of the context
 - Abbreviated as "text"
- ✦ `attribute::name` selects all "name" attributes
 - Abbreviated as "@name"
- ✦ `child::chapter/descendant::para` selects all "para" descendants of all "chapter" children
 - Abbreviated as "chapter//para"
- ✦ `//` is short for `/descendant-or-self::node()`
- ✦ `//para` is short for `self::node()/descendant-or-self::node()/child::para`
 - `//para[3]` is the set of all para elements which are third para children

Unabbreviated Location Paths (3)

- ✚ following-sibling::chapter[1] selects the next “chapter” sibling
 - No abbreviation possible
- ✚ self::para selects the current node if it is a “para” node, else selects nothing:
 - child::*[self::chapter or self::appendix] selects all “chapter” and “appendix” children of the context
 - child::*[self::chapter or self::appendix][position()=last()] selects the last such element
- ✚ Ordering of selected nodes depends on the axis
 - An axis containing only elements before the context is a reverse axis
 - The “proximity position” always follows the order on the axis, node numbers start with 1

Syntax: Location Paths

- [1] LocationPath ::= RelativeLocationPath
| AbsoluteLocationPath
- [2] AbsoluteLocationPath ::= '/' RelativeLocationPath?
| AbbreviatedAbsoluteLocationPath
- [3] RelativeLocationPath ::= Step
| RelativeLocationPath '/' Step
| AbbreviatedRelativeLocationPath

Syntax: Location Steps

- [4] Step ::= AxisSpecifier NodeTest Predicate*
| AbbreviatedStep
- [5] AxisSpecifier ::= AxisName '::'
| AbbreviatedAxisSpecifier

Syntax: Node Tests

```
[7]  NodeTest ::= NameTest
      | NodeType '(' ')'
      | 'processing-instruction' '(' Literal ')'

[38] NodeType ::= 'comment'
      | 'text'
      | 'processing-instruction'
      | 'node'
```

Syntax: Predicates

[8] Predicate ::= '[' PredicateExpr ']'

[9] PredicateExpr ::= Expr

✱ PredicateExpr is evaluated in the context of the selected steps

✱ Result is converted to boolean

- Numbers are converted to boolean by comparing them with position()

Syntax: Abbreviations

[10] AbbreviatedAbsolutePath ::=
 '/' RelativeLocationPath

[11] AbbreviatedRelativeLocationPath ::=
 RelativeLocationPath '/' Step

[12] AbbreviatedStep ::= '|'
 | '..'

[13] AbbreviatedAxisSpecifier ::= '@'?

Syntax: Expressions

[14] Expr ::= OrExpr

[15] PrimaryExpr ::= VariableReference
| '(' Expr ')'
| Literal
| Number
| FunctionCall

[36] VariableReference ::= '\$' QName

✱ Variables are provided by the XPath application as part of the context

Syntax: Function Calls

[16] FunctionCall ::=

FunctionName '(' (Argument (',' Argument)*)? ')'

[17] Argument ::= Expr

[35] FunctionName ::= QName - NodeType

- ✱ Functions are built-in or provided by the XPath application

- ✱ Arguments are converted to their argument types

 - As if by calling string(), number(), boolean() built-ins

Syntax: Node Sets

- [18] UnionExpr ::= PathExpr
 | UnionExpr '|' PathExpr
- [19] PathExpr ::= LocationPath
 | FilterExpr
 | FilterExpr '/' RelativeLocationPath
 | FilterExpr '//' RelativeLocationPath
- [20] FilterExpr ::= PrimaryExpr
 | FilterExpr Predicate

Syntax: Boolean Expressions

- [21] OrExpr ::= AndExpr
 | OrExpr 'or' AndExpr
- [22] AndExpr ::= EqualityExpr
 | AndExpr 'and' EqualityExpr
- [23] EqualityExpr ::= RelationalExpr
 | EqualityExpr '=' RelationalExpr
 | EqualityExpr '!=' RelationalExpr
- [24] RelationalExpr ::= AdditiveExpr
 | RelationalExpr '<' AdditiveExpr
 | RelationalExpr '>' AdditiveExpr
 | RelationalExpr '<=' AdditiveExpr
 | RelationalExpr '>=' AdditiveExpr

Boolean Expressions

- ✖ Arguments of boolean operators (or, and) are converted to boolean first
- ✖ Comparing node sets in relational operations:
 - If both arguments are node sets:
 - True, if a node can be selected from each set so that their string values compare true
 - If one argument is a number:
 - True if a node can be converted to a string, then a number, so that it compares true
 - If one argument is a string:
 - True if a node can be converted to a string so that it compares true
 - If one argument is boolean:
 - True if the nodeset, when converted to `boolean()`, compares true

Boolean Expressions (2)

- ✚ Comparing other values for equality/inequality:
 - If one value is a boolean, convert the other to boolean
 - [Otherwise] If one value is a number, convert the other to a number
 - [Otherwise] convert both arguments to strings
- ✚ Comparing values for $<$, $<=$, $>$, $>=$:
 - Convert both arguments to numbers

Syntax: Numbers

- [25] AdditiveExpr ::= MultiplicativeExpr
 | AdditiveExpr '+' MultiplicativeExpr
 | AdditiveExpr '-' MultiplicativeExpr
- [26] MultiplicativeExpr ::= UnaryExpr
 | MultiplicativeExpr MultiplyOperator UnaryExpr
 | MultiplicativeExpr 'div' UnaryExpr
 | MultiplicativeExpr 'mod' UnaryExpr
- [27] UnaryExpr ::= UnionExpr
 | '-' UnaryExpr
- [34] MultiplyOperator ::= '*'

- ✶ Computations are floating-point normally; mod is the same as '%' in Java
- ✶ Whether "*" is a multiply operator or a wildcard depends on the lexical context

Core Functions

- ✚ Certain functions are provided built-in in XPath
 - XSLT adds more built-in functions on top of that
 - Applications may provide custom functions, in a proprietary fashion
 - Should use QNames, to scope extensions by XML namespace
- ✚ Each function defined with name, parameter types, return type, semantics

Node Functions

- ✧ *number* **last()**
- ✧ *number* **position()**
- ✧ *number* **count**(*node-set*)
- ✧ *node-set* **id**(*object*)
 - If argument is a node set, apply `string()` to each one, then `id()`
 - Otherwise: convert argument to string, split at whitespace boundaries, then find node with id
- ✧ *string* **local-name**(*node-set?*)
 - If nodeset is given, return local-name for first node, else for context node
- ✧ *string* **namespace-uri**(*node-set?*)
- ✧ *string* **name**(*node-set?*)

String Functions

✶ *string* **string**(*object*?)

- Node-set: convert first node in document order into string
 - Empty string for empty node-set
- Numbers: decimal, with sign, possibly "NaN", "Infinity"
- Booleans: "true", "false"
- Nodes: Depending on type
 - Root node/Element node: concatenation of all string values of all text node descendants
 - Attributes: attribute value
 - Namespace node: namespace URI
 - PI: PI contents
 - Comment: Comment text
 - Text: Text value (always non-empty)

String Functions (2)

- ✱ *string* **concat**(*string*, *string*, *string**)
- ✱ *boolean* **starts-with**(*string*, *string*)
- ✱ *boolean* **contains**(*string*, *string*)
- ✱ *string* **substring-before**(*string*, *string*)
- ✱ *string* **substring-after**(*string*, *string*)
- ✱ *string* **substring**(*string*, *number*, *number*?)
 - Character indices start at 1, indices are rounded
- ✱ *number* **string-length**(*string*?)
- ✱ *string* **normalize-space**(*string*?)
- ✱ *string* **translate**(*string*, *string*, *string*)

Boolean Functions

✶ *boolean* **boolean**(*object*)

- Number: true if != +/-0, !=NaN
- Node-set: true if non-empty
- String: true if length is non-zero

✶ *boolean* **not**(*boolean*)

✶ *boolean* **true**()

✶ *boolean* **false**()

✶ *boolean* **lang**(*string*)

- Looks for xml:lang in the context node
- Case-insensitive, ignoring country separated by "-"

Number Functions

✚ *number* **number**(*object?*)

- Strings: convert to nearest IEEE-754 number, or NaN
- Boolean: true gives 1, false gives 0
- Node-set: convert to string first

✚ *number* **sum**(*node-set*)

✚ *number* **floor**(*number*)

✚ *number* **ceiling**(*number*)

✚ *number* **round**(*number*)